

# Impossible worlds, triviality, and duality

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## Introduction

- In a letter to Graham Priest, David Lewis writes:

*I'm increasingly convinced that I can and do reason about impossible situations. [...] But I don't really understand how that works. Paraconsistent logic as developed by you [Priest] and your allies is clear enough, but I find it a bit off the topic. For it allows (a limited amount of) reasoning about blatantly impossible situations. Whereas what I find myself doing is reasoning about subtly impossible situations, and rejecting suppositions that lead fairly to blatant impossibilities. (Lewis 2004, pp. 176-177.)*

- “Lewisian challenge”: to model the doxastic lives of minimally rational agents like Lewis, find a model of belief that ensures that only possible and subtly impossible worlds remain doxastically possible for these agents.
  - Because minimally rational, they can immediately rule out as doxastically possible *blatant* logical inconsistencies such as  $\{A, \neg A\}$  and  $\{\neg A, (A \wedge B)\}$ , but not necessarily *subtle* logical inconsistencies such as  $\{\neg(\neg(A \rightarrow C) \rightarrow \neg((\neg A \rightarrow \neg B) \rightarrow \neg(\neg A \rightarrow \neg C)))\}$ .
- Claim: we cannot meet the Lewisian challenge in an impossible-worlds framework for doxastic possibility and necessity.

## Beliefs and doxastic necessity

- What is a world-involving framework for belief?
  - (**Belief**) An agent  $a$  believes  $A$  ( $A$  is doxastically possible for  $a$ ) iff  $A$  is true at all worlds that are doxastically possible for  $a$ .
- If all doxastically possible worlds are logically possible, agents are modelled as logically omniscient: they believe all logical consequence of what they believe (including all logical truths).
  - Suppose  $a$  believes  $A$ . Then  $A$  is true at all (logically possible) worlds that are doxastically possible for  $a$ . Consider any  $B$  that follows logically from  $A$ . Then  $B$  is true at all worlds that are doxastically possible for  $a$ . So  $a$  believes  $B$ .
- To model logically non-omniscient agents, we appeal to *logically impossible worlds* where the truths of logic can fail.
  - Suppose  $a$  believes  $A$ . Then  $A$  is true at all worlds that are doxastically possible for  $a$ . Consider any  $B$  that follows logically from  $A$ . Since some impossible worlds might remain doxastically possible for  $a$ ,  $B$  need not be true at all worlds that are doxastically possible for  $a$ . So  $a$  need not believe  $B$ .

# Impossible worlds

- What are impossible worlds?
  - Possible worlds = maximal, consistent sets of sentences in  $\mathcal{L}$ .
  - Impossible worlds = maximal, inconsistent sets of sentences in  $\mathcal{L}$ .
    - Maximality: a set  $\Gamma$  of sentences is *maximal* iff for all sentences  $A$ , either  $A \in \Gamma$  or  $\neg A \in \Gamma$ .
    - Consistency: a set  $\Gamma$  of sentences is *consistent* iff  $\Gamma$  is satisfiable, where  $\Gamma$  is *satisfiable* iff there is a propositional evaluation that makes all sentences in  $\Gamma$  true.
    - $\mathcal{L}$ : some sufficiently strong and precise world-making language that contains all sentence types in English and symbols  $\neg$  and  $\wedge$  that play the same inferential roles as classical negation and conjunction.
- What does it mean for a sentence to be true at a world?
  - (**Truth**)  $A$  is *true* at a world  $w$  iff  $A \in w$ .
  - (**Falsity**)  $A$  is *false* at a world  $w$  iff  $A \notin w$ .
    - In turn, we can also say that a world is maximal whenever for any  $A$ , either  $A$  is true at  $w$  or  $\neg A$  is true at  $w$ .
- To address the Lewisian challenge, we now need to know what distinguishes blatantly from subtly impossible worlds.

## Blatantly impossible worlds

- Intuitively, an inconsistency is blatantly inconsistent whenever it can be ruled out easily, effortlessly, or immediately by a minimally rational agent.
  - A minimally rational agent is *minimally logical competent* in the following sense: she never fails to believe any sentence that she can infer from what she already believes by 1 step of logical reasoning.
- We can use this idea to characterize blatant inconsistencies:

(**Blatant**) A set  $\Gamma$  of sentences is *blatantly inconsistent* just in case a contradiction  $\{A, \neg A\}$  can be inferred from  $\Gamma$  by use of at most 1 application of an inference rule in a standard proof system for propositional logic; otherwise, if inconsistent,  $\Gamma$  is *subtly inconsistent*.

  - $\{A, \neg A\}$  and  $\{\neg(A \wedge B), A, B\}$  are blatantly inconsistent, whereas  $\{\neg(\neg(A \rightarrow C) \rightarrow \neg((\neg A \rightarrow \neg B) \rightarrow \neg(\neg A \rightarrow \neg C)))\}$  is only subtly inconsistent.
- Blatantly impossible worlds are thus worlds that contain a blatant inconsistency.
- Despite the weak characterization of the current impossible-worlds framework, we can show that it cannot be used to meet the Lewisian challenge.

## Impossibility result

- For the following result can be shown:

**(Incon)** All maximal, logically inconsistent sets of sentences contain an instance of a LNC-, CF-, or NCF-inconsistency:

**LNC-inconsistency** (law of non-contradiction):  $\{A, \neg A\}$ .

**CF-inconsistency** (conjunction fallacy):  $\{\neg A, (A \wedge B)\}$ ,  $\{\neg B, (A \wedge B)\}$ ,  $\{\neg A, \neg B, (A \wedge B)\}$ .

**NCF-inconsistency** (negated conjunction fallacy):  $\{\neg(A \wedge B), A, B\}$ .

- Given (Blatant), we have:

**(Incon)** All logically impossible worlds are blatantly impossible.

- That is: all maximal, inconsistent sets contain a set from which  $\{A, \neg A\}$  can be inferred in 1 step of logical reasoning by use of simple propositional rules.

- In turn, the following result obtains:

**(Result)** There is no modal space such that:

(R<sub>1</sub>) there are impossible worlds;

(R<sub>2</sub>) there are no non-maximal worlds;

(R<sub>3</sub>) there are no blatantly impossible worlds.

- So, given (Result), it seems that we cannot use the impossible-worlds framework to meet the Lewisian challenge.

## Problem and task

- But (Result) loses its *formal* bite with respect to (Belief) when the doxastic  $\Box$  and  $\Diamond$  are duals:  $\Diamond A \leftrightarrow \neg \Box \neg A$ .<sup>1</sup>
  - $\Box A$ :  $A$  is doxastically necessary for  $a$  ( $a$  believes  $A$ ).
  - $\Diamond A$ :  $A$  is doxastically possible for  $a$ .
- Reason: (Pos) fails when impossible worlds are allowed.
  - (Nec)  $\Box A$  iff  $A$  is true at all worlds that are doxastically possible for  $a$ .
  - (Pos)  $\Diamond A$  iff  $A$  is true at some world that is doxastically possible for  $a$ .
    - Counterexample: Let  $\{w\}$  be the set of worlds that are doxastically possible for  $a$ , and suppose that both  $A$  and  $\neg A$  are true at  $w$ . Then, by (Nec),  $\Box A$ , and, by duality,  $\neg \Diamond \neg A$ . However, by (Pos), right to left,  $\Diamond \neg A$ . So contradiction. So (Pos) fails.
- If (Pos) fails, (Result) need not worry us: even if some blatantly impossible worlds are doxastically possible for a minimally rational agent, it need not mean that any blatant inconsistencies are.
- Task: to establish the formal force of (Result), we need a version of (Pos).

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<sup>1</sup>As pointed out to me by Kit Fine.

## Acceptance and Rejection 1

- In the context of non-ideal agents who may believe both  $A$  and  $\neg A$ , it is reasonable to give up the duality of  $\Box$  and  $\Diamond$ .
  - Constraint: If  $a$  believes  $A$ ,  $A$  should count as doxastically possible for  $a$ .
  - Suppose  $\Box A$  and  $\Box \neg A$ . When  $\Diamond$  is the dual of  $\Box$ , it follows that  $\neg \Diamond \neg A$ . Yet, when  $\Box \neg A$ , it should follow that  $\Diamond \neg A$ . So  $\Diamond$  and  $\Box$  cannot be duals.
- Instead: interpret doxastic necessity and possibility in terms of the primitive notions of *acceptance* and *rejection* of sets of sentences.
  - Similar pairs of attitudes in the literature: belief - disbelief (Russell 1913); belief - refusal to believe (Priest 2005 and 2006); assertion - denial (Restall 2005); hooraying - booing (Blackburn 1984); “Yes” and “No” (Rumfitt 2000).
  - Intuitively, to accept  $\Gamma$  is to answer “yes” to the question “Does  $\Gamma$  describe a way the world is?”
  - Intuitively, to reject  $\Gamma$  is to answer “no” to the question “Does  $\Gamma$  describe a way the world is?”
- Central constraints on acceptance and rejection:
  - No agent can simultaneously accept and reject  $\Gamma$ .
  - Acceptance and negation is conceptually distinct from rejection.
    - For instance: an agent can accept  $\{\neg A\}$  without rejecting  $\{A\}$ .



## Acceptance and rejection 2

- We can then define doxastic necessity, impossibility, and possibility:

(DN)  $\Gamma$  is doxastically necessary for an agent  $a$  iff  $a$  accepts  $\Gamma$ .

(DI)  $\Gamma$  is doxastically impossible for an agent  $a$  iff  $a$  rejects  $\Gamma$ .

(DP)  $\Gamma$  is doxastically possible for an agent  $a$  iff  $a$  does not reject  $\Gamma$ .

- Next we can introduce three predicates NEC, IMP, POS in the metalanguage:

NEC( $\Gamma$ ) =  $\Gamma$  is doxastically necessary for  $a$ .

IMP( $\Gamma$ ) =  $\Gamma$  is doxastically impossible for  $a$ .

POS( $\Gamma$ ) = not: IMP( $\Gamma$ ) =  $\Gamma$  is doxastically possible for  $a$ .

- Our task is thus to establish the following versions of (Nec) and (Pos):

(**Dox-Nec**) NEC( $\Gamma$ ) iff for all  $w$  that are doxastically possible for  $a$ , each  $A \in \Gamma$  is true at  $w$ .

(**Dox-Pos**) POS( $\Gamma$ ) iff for some  $w$  that is doxastically possible for  $a$ , each  $A \in \Gamma$  is true at  $w$ .

## Doxastic possibility: worlds

- To prove (Dox-Nec) and (Dox-Pos), let us define:

**(Dox-Pos- $w$ )** A set  $W_a$  of worlds is doxastically possible for an agent  $a$  iff:

- (i) If  $\text{NEC}(\Gamma)$ , then it is case that for all  $w \in W_a$ :  $\Gamma \subseteq w$ .
  - (ii) If  $\text{IMP}(\Gamma)$ , then it is case that for all  $w \in W_a$ :  $\Gamma \not\subseteq w$ .
- So a world is doxastically possible for an agent when it contains everything she accepts and nothing she rejects.
  - Using Lindenbaum-style reasoning, we can establish (iii) and (iv) from (Dox-Pos- $w$ ):
    - (iii) If not  $\text{NEC}(\Gamma)$ , then it is the case for some  $w \in W_a$ :  $\Gamma \not\subseteq w$ .
    - (iv) If not  $\text{IMP}(\Gamma)$ , then it is the case for some  $w \in W_a$ :  $\Gamma \subseteq w$ .
      - Roughly, for (iii): If not  $\text{NEC}(\Gamma)$ , then there is at least one  $\{B\} \subseteq \Gamma$  such that not  $\text{NEC}(\{B\})$ . Let  $Ax$  be the set of accepted sentences. For each  $A$ —except  $B$ —define a rule that adds  $A$  to  $Ax$  just in case  $\text{IMP}$  does not apply to the resulting set  $Ax \cup \{A\}$ ; otherwise it adds  $\neg A$  to  $Ax$ .
      - Eventually, either  $A$  or  $\neg A$ —or both—will be added to  $Ax$ , and the resulting set will correspond to a maximal world  $w$  such that  $\Gamma \not\subseteq w$  and such that  $w$  satisfies (i) and (ii) in (Dox-Pos- $w$ ).

## Proof of (Dox-Nec)

**(Dox-Nec)**  $NEC(\Gamma)$  iff for all  $w$  that are doxastically possible for  $a$ , each  $A \in \Gamma$  is true at  $w$ .

- Left to right: Assume  $NEC(\Gamma)$ . By (Dox-Pos- $w$ ), (i), then  $\Gamma \subseteq w$  for all  $w$  that are doxastically possible for  $a$ . In turn, for all worlds  $w$  doxastically possible for  $a$ ,  $A \in w$  for each  $A \in \Gamma$ . So, by (Truth), for all worlds  $w$  that are doxastically possible for  $a$ , each  $A \in \Gamma$  is true at  $w$ .
- Right to left: Assume, for all worlds  $w$  that are doxastically possible for  $a$ , that each  $A \in \Gamma$  is true at  $w$ . Given (Truth), then  $A \in w$  for each  $A \in \Gamma$ , in which case  $\Gamma \subseteq w$  for all  $w$  that are doxastically possible for  $a$ . Suppose, for reductio, that not  $NEC(\Gamma)$ . Then, by the derived condition (iii),  $\Gamma \not\subseteq w$  for some  $w$  that is doxastically possible for  $a$ . Contradiction. So  $NEC(\Gamma)$ .
- So (Dox-Nec) holds.

## Proof of (Dox-Pos)

**(Dox-Pos)**  $\text{POS}(\Gamma)$  iff for some  $w$  that is doxastically possible for  $a$ , each  $A \in \Gamma$  is true at  $w$

- Left to right: Assume  $\text{POS}(\Gamma)$ . Then not  $\text{IMP}(\Gamma)$ . Then, by the derived (iv), there is some world  $w$  that is doxastically possible for  $a$  such that  $\Gamma \subseteq w$ . Then  $A \in w$  for each  $A \in \Gamma$ , in which case, by (Truth), each  $A \in \Gamma$  is true at  $w$  for some  $w$  that is doxastically possible for  $a$ .
  - Right to left: Assume, for some world  $w$  that is doxastically possible for  $a$ , that each  $A \in \Gamma$  is true at  $w$ . Given (Truth), then  $A \in w$  for each  $A \in \Gamma$ , in which case  $\Gamma \subseteq w$  for some  $w$  that is doxastically possible for  $a$ . Suppose, for reductio, that  $\text{IMP}(\Gamma)$ . Then, by (Dox-Pos- $w$ ), condition (ii),  $\Gamma \not\subseteq w$  for all  $w$  that are doxastically possible for  $a$ . Contradiction. So not  $\text{IMP}(\Gamma)$ . So  $\text{POS}(\Gamma)$ .
- So (Dox-Pos) holds.

## Conclusion

- Given (Dox-Pos), we have restored the formal force of (Result): since some blatantly impossible worlds must remain doxastically possible for a minimally rational agent, some blatant inconsistencies must remain doxastically possible for these agents.
- But this is not plausible.
- So when worlds are maximal, I conclude that we cannot use the impossible-worlds framework to meet the Lewisian challenge.
  - In fact, although I cannot argue for it here, whether or not worlds are maximal, I claim that we cannot use an impossible-worlds framework to model the doxastic lives of minimally rational agents like David Lewis.

## Literature

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